

Massachusetts Department of Environmental Protection  
Environmental Results Program  
**2004 Compliance Certification**  
**For Printers**

Facility ID Number \_\_\_\_\_

**Important:**  
When filling out forms on the computer, use only the tab key to move your cursor - do not use the return key.



## A. Facility Information

Facility Name \_\_\_\_\_

Facility SIC Code \_\_\_\_\_

Facility ID Number /TIN \_\_\_\_\_

Facility Street Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip Code \_\_\_\_\_

Phone Number \_\_\_\_\_

Fax Number \_\_\_\_\_

Federal Employer Identification Number - FEIN \_\_\_\_\_

Contact Person Name \_\_\_\_\_

Title \_\_\_\_\_

Telephone Number \_\_\_\_\_

Contact Email Address \_\_\_\_\_

☐ Please check box if this is a **New Facility** since last year's filing deadline of September 15.

☐ Please check box if this is a **Pre-Existing Facility** under **New Ownership**.

## B. Compliance Information

Answer all questions, unless you are directed to skip a question. Do not answer questions that you are directed to skip.

### Hazardous Waste: Questions for all Printers

1. Over the past year did you have any spills or releases that required reporting to DEP? (*Refer to Section 1.5. in the Workbook*)

☐ yes - submit a *Spill or Release Report Summary*

☐ no

2. Do you generate hazardous waste? (*Refer to Sections 1.4, 3.1.1 and 3.1.2. in the Workbook*)

☐ yes

☐ no - skip to question 16

3. How much hazardous waste did you generate at your facility during the past 12 months?

\_\_\_\_\_ Gallons

- 4a. Indicate your facility's hazardous waste generator status for *hazardous waste* by placing a check next to the appropriate category. (*Refer to Section 3.1.3. in the Workbook*)

☐ VSQG

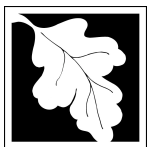
☐ SQG

☐ LQG

- 4b. Indicate your facility's generator status for *waste oil* if applicable, by placing a check next to the appropriate category. (*Refer to Section 3.1.4. in the Workbook*)

☐ VSQG

☐ SQG



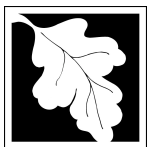
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**B. Compliance Information (cont.)**

5. What is your facility's hazardous waste identification number? (*Refer to Section 3.1.6. in the Workbook*) Hazardous Waste ID Number (12 Characters) \_\_\_\_\_
6. Do you have appropriate documentation which shows where hazardous waste is being shipped? (*Refer to Section 3.1.11. in the Workbook*) ☐ yes  
☐ no - submit a *Return To Compliance Plan*
7. Are you shipping your hazardous waste offsite as frequently as required? (*Refer to Section 3.1.9. in the Workbook*) ☐ yes  
☐ no - submit a *Return To Compliance Plan*
8. Is all hazardous waste stored in either a separate, marked off hazardous waste storage area AND/OR in a workstation accumulation area? (*Refer to Section 3.1.7., figure 1 in the Workbook*) ☐ yes  
☐ no - submit a *Return To Compliance Plan*
9. Are all containers of hazardous waste in good condition? (*Refer to Section 3.1.7., figure 1 in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*
10. Are all containers of hazardous waste labeled as follows:
- 10a. As hazardous waste? (*Refer to Section 3.1.7. in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*
- 10b. With the name of the waste? (*Refer to Section 3.1.7. in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*
- 10c. With the hazard classification? (*Refer to Section 3.1.7. in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*
11. Are all containers of hazardous waste located on an impervious surface? (*Refer to Section 3.1.7. figure 1 in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*



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**B. Compliance Information (cont.)**

12. Are used shop towels stored in closed containers? (*Refer to Section 3.1.10. in the Workbook*) ☐ yes  
☐ no

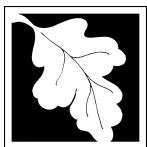
**Hazardous Waste:**

**Questions for SQG's and LQG's (refer to Section 3.1.3 in the Workbook for definitions of SQG and LQG. See your answer to Question #4 also.) If you are a VSQG, skip to question #16.**

13. Do you have a telephone or other communication system in areas near where hazardous waste is stored or generated? (*Refer to Section 3.1.12. in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*
14. Do you have a sign next to the telephone in each work area near where hazardous waste is stored or generated that tells what to do in an emergency? (*Refer to Section 3.1.12. in the Workbook and sample sign on page 42 of the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*
15. Do the hazardous waste containers in the storage area show the date accumulation began? (*Refer to Section 3.1.7., figure 1 in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*

**Industrial Wastewater: Questions for All Printers**

16. Do you perform photo processing or plate imaging that generates a silver bearing waste? ☐ yes  
☐ no - Skip to question 18 and do not answer questions 21-23
- 16a. Do you ship/haul your untreated silver bearing waste off-site for recycling? ☐ yes  
☐ no
17. Do you have a silver recovery unit? ☐ yes  
☐ no – skip to question 18
- 17a. How many gallons of silver bearing wastewater did you treat through your silver recovery unit in the past year? (*Refer to Workbook page 47*) \_\_\_\_\_  
Gallons/Year



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**B. Compliance Information (cont.)**

- 17b. Is your silver recovery unit directly connected to your photoprocessing wastestream? ☐ yes  
☐ no

18. Do you discharge or ship industrial wastewater to the MWRA? ☐ yes  
☐ no

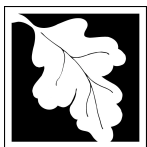
**Industrial Wastewater:**

**Questions for printers that discharge or ship industrial wastewater to a POTW outside of the MWRA service area**

Note 1 for 21:  
If you answered yes to question 16a and you ship or haul all your silver-bearing waste off-site for recycling then answer yes to question 21 and skip question 22.

Note 2 for 21: if you answered "No" to question 16, skip to question 24

19. Do you discharge or ship industrial wastewater to a POTW (Publicly Owned Treatment Works) **other than** the MWRA? ☐ yes  
☐ no – skip to question 24
20. Do you have a sewer discharge permit from your local POTW? (*Contact your sewer authority to see if you are required to obtain a sewer permit*) ☐ yes  
☐ no – skip to question 21
- 20a. Are you in compliance with the terms of that permit? ☐ yes – skip to question 23  
☐ no – submit a *Return to Compliance Plan* and skip to question 23
21. Are you in compliance with the 2 ppm silver discharge limit? (*Refer to Section 3.2.4. in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*
22. Did you collect the sample within one month prior to changing the cartridge of your silver recovery system? (*Refer to Section 3.2.6. in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*
23. Do you use a film processor cleaner containing a chromium compound? (*Refer to Section 3.2.4. in the Workbook*) ☐ yes - submit a *Return to Compliance Plan*  
☐ no



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## B. Compliance Information (cont.)

### Industrial Wastewater: Questions for printers on septic systems

24. Are you on a septic system? ☐ yes  
☐ no - skip to question 27
25. Do you discharge industrial wastewater to the septic system? (*Refer to Section 3.2.2. in the Workbook*) ☐ yes - You must cease your discharge to the septic system **immediately** and submit a *Return to Compliance Plan*.  
☐ no
26. Do you discharge industrial wastewater to the ground; or the surface water (i.e., storm drain, river, lake, or pond) without a permit? (*Refer to Section 3.2.1. in the Workbook*) ☐ yes - You must cease your unpermitted discharge **immediately** and submit a *Return to Compliance Plan*.  
☐ no

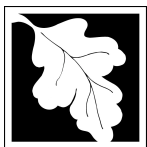
### Industrial Wastewater:

#### Questions for printers that store non-hazardous industrial wastewater

27. Do you store non-hazardous industrial wastewater in above ground tanks or containers? ☐ yes  
☐ no - skip to question 29
28. Are you in compliance with the requirements for storing non-hazardous industrial wastewater in appropriate tanks or containers? (*Refer to Section 3.2.9. in the Workbook*) ☐ yes  
☐ no - submit a *Return to Compliance Plan*

### Air: Questions for All Printers

29. How much press and/or screen cleaning solution did you purchase during the past 12 months? \_\_\_\_\_ Gallons
30. Indicate your facility's emission status for volatile organic compounds (VOCs) by placing a check next to your facility's category. (*Refer to Section 3.3.1 in the Workbook. Also, refer to page 53 of the Workbook for how to calculate VOC emissions*) ☐ small  
☐ midsize  
☐ large with  $\leq 25$  tons VOC emissions per year  
☐ large with  $> 25$  tons VOC emissions per year



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**Note:**

Large Printers with >25 tons per year VOC emissions will separately be mailed an annual source registration form (BWP AQ-SR).

**B. Compliance Information (cont.)**

31. If you are a large printer with  $\leq 25$  tons per year VOC emissions, please provide your yearly VOC and hazardous air pollutant (HAP) emission amounts. *(Refer to page 58 in Workbook for a list of HAPs. Refer to pages 52 and 53 of the Workbook for how to calculate HAP and VOC emission amounts)*

\_\_\_\_\_  
Tons of VOC Per Year

\_\_\_\_\_  
Tons of HAP Per Year

32. Complete the chart below (refer to section 3.3.5 of the workbook to determine whether press is conforming/non conforming).

**All printers complete this section**

Indicate the number of presses at your facility. If you have no presses of a specified type, write "0".

a. Conforming:

1. Lithography

2. Screen

3. Gravure

4. Letter Press

5. Flexographic

Nonconforming:

6. Heatset Lithography

7. Screen

8. Gravure

9. Letter Press

10. Flexographic

**b. Large printers only** - check the type of controls you have:

1. ☐ catalytic oxidizer    2. ☐ thermal oxidizer    3. ☐ carbon adsorption    4. ☐ condenser filter    5. ☐ other

**Air: Questions for small printers** *(Refer to Section 3.3.1 in the Workbook for definition of small printer. See your answer to Question #30 also. If you are a midsize or large printer, skip to question #36)*

33. Do you keep containers of cleanup solution covered when not in use? *(Refer to Section 3.3.3. in the Workbook)*

☐ yes

☐ no - submit a *Return to Compliance Plan*

34. Are you using press/screen cleaning solution in compliance with standards in Table 1? *(Refer to Section 3.3.3. in the Workbook)*

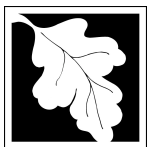
☐ yes

☐ no - submit a *Return to Compliance Plan*

35. Do you keep records in accordance with Section 3.3.10 in the Workbook?

☐ yes

☐ no - submit a *Return to Compliance Plan*



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## B. Compliance Information (cont.)

**Note:** DEP recommends that you comply with ERP performance standards and eliminate existing permits as explained in section 5.1 of the workbook (see page 35).

### Air: Questions for printers with any press(es) currently covered by a DEP air permit

36. Are any of your presses covered by a DEP air quality permit?

☐ yes

☐ no - If you are a small printer, **skip to Section C, page 11** of this form. If you are a midsize or large printer, **skip to question 42.**

37. Have you previously or are you currently self-converting any of those permits? (*Refer to Section 5.0., scenarios 1 and 2, in the Workbook*)

☐ yes - Indicate the number of presses covered by each permit by completing the sections below. Complete one section for each permit.

☐ no – skip to question 39

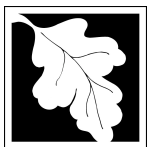
38. Write the # of presses covered by the permit on the line corresponding to each type of press. If no presses of a particular type are covered by the permit, write "0". See sample question #38, on page 55 of the Workbook, for an example.

a. Permit Application #	b. Lithography	c. Screen	d. Gravure	e. Letterpress	f. Flexographic
1 _____	_____	_____	_____	_____	_____
2 _____	_____	_____	_____	_____	_____
3 _____	_____	_____	_____	_____	_____
4 _____	_____	_____	_____	_____	_____
5 _____	_____	_____	_____	_____	_____

39. Do you hold any DEP air quality permits that you are not self-converting? (*Refer to Sections 3.3.5 and 3.3.6 in the Workbook; Also, refer to Section 5.0., scenario 3, in the Workbook*)

☐ yes - complete the chart(s) in number 40

☐ no - - If you are a small printer, **skip to Section C, page 11** of this form. If you are a midsize or large printer, **skip to question 42.**



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**B. Compliance Information (cont.)**

40. Write the number of presses covered by the permit in the box corresponding to each type of press. If no presses of a particular type are covered by the permit, write "0". See sample question 40, on page 55 of the Workbook, for an example.

a. Permit Application #	b. Lithography	c. Screen	d. Gravure	e. Letterpress	f. Flexographic
1 _____	_____	_____	_____	_____	_____
2 _____	_____	_____	_____	_____	_____
3 _____	_____	_____	_____	_____	_____
4 _____	_____	_____	_____	_____	_____
5 _____	_____	_____	_____	_____	_____

41. Are you in compliance with the terms and conditions of the permit(s) that you are not self-converting? ☐ yes  
☐ no - submit a *Return to Compliance Plan*



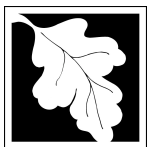
If you are a small printer - skip to Section C, page 11 of this form.

**Air: Questions for midsize or large printers with any unpermitted or self-converted nonheatset presses, and/or any unpermitted or self-converted conforming screen or graphic arts operations.**

42. Do you have any unpermitted or self-converted nonheatset presses, AND/OR any unpermitted or self-converted conforming screen or graphic arts operations. (Refer to Section 5.0. in the Workbook for definition of a "self-converted" operation. Refer to Section 3.3.5 in the Workbook for a definition of a "conforming" screen or graphic arts operation) ☐ yes  
☐ no - skip to question 46

43. Are you using press/screen cleaning solution in compliance with Section 3, Table 1, in the Workbook? ☐ yes  
☐ no - submit a *Return to Compliance Plan*

44. Are you using inks, coatings, adhesives and fountain solutions that meet applicable standards in Section 3.3.3, Table 2 in Workbook? ☐ yes  
☐ no - submit a *Return to Compliance Plan*



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**B. Compliance Information (cont.)**

45. Do you keep records in accordance with Section 3.3.10 in the Workbook? ☐ yes  
☐ no - submit a *Return to Compliance Plan*

**Air: Questions for midsize or large printers with any unpermitted or self-converted heatset presses, AND/OR any unpermitted or self-converted nonconforming screen or graphic arts operations.**



If you answered "no" to question 46 - skip to Section C, page 11 of this form.

46. Do you have any unpermitted heatset presses or any unpermitted, nonconforming screen or graphic arts operations? (*Refer to Section 3.3.5 in the Workbook for definition of a "nonconforming operation"*) ☐ yes  
☐ no - skip to Section C, page 11 of this form
- 46a. Check here if your facility-wide VOC emissions are less than or equal to 10 tons per year. (*See guidance on page 53 of the Workbook for how to calculate facility-wide VOC emissions*) ☐ - go to question 47
- 46b. Check here if your facility-wide VOC emissions are greater than 10 tons per year. (*See guidance on page 53 of the Workbook for how to calculate facility-wide VOC emissions*) ☐ - go to question 49
47. Are you meeting applicable standards in Section 3.3.6, Table 3, in the Workbook? ☐ yes  
☐ no - submit a *Return to Compliance Plan*
48. Do you keep records in accordance with "recordkeeping for heatset and nonconforming operations" in Section 3.3.10? ☐ yes  
☐ no - submit a *Return to Compliance Plan*



**You have completed the certification questions- go to Section C, page 11 of this form.**

49. Do you have records to demonstrate that your facility-wide VOC emissions were less than or equal to 10 tons per year when you installed the unpermitted heatset/nonconforming press(es)? (*See guidance on page 56 of the Workbook*) ☐ yes  
☐ no - you must get a permit for any unpermitted non-conforming or heatset presses and submit a *Return to Compliance Plan*



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**B. Compliance Information (cont.)**

50. Are you meeting applicable standards in Section 3.3.6, Table 3, in the Workbook?

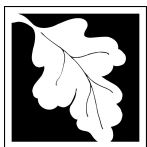
☐ yes

☐ no - submit a *Return to Compliance Plan*

51. Do you keep records in accordance with "recordkeeping for heatset and nonconforming operations" Section 3.3.10 in the Workbook?

☐ yes

☐ no - submit a *Return to Compliance Plan*



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## C. Certification Statement

**Note:** Complete all required Return to Compliance Plans (RTC) and Spill or Release Report Summary forms (if any), attach to this document before signing this statement.

"I attest under the pains and penalties of perjury:

- (i) that I have personally examined and am familiar with the information contained in this submittal, including any and all documents accompanying this certification statement;
- (ii) that, based on my inquiry of those individuals responsible for obtaining the information, the information contained in this submittal is to the best of my knowledge, true, accurate, and complete;
- (iii) that systems to maintain compliance are in place at the facility and will be maintained for the coming year even if processes or operating procedures are changed over the course of the year; and
- (iv) that I am fully authorized to make this attestation on behalf of this facility.

I am aware that there are significant penalties including, but not limited to, possible fines and imprisonment for willfully submitting false, inaccurate, or incomplete information."

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date (MM/DD/YYYY)

Source of Signatory Authority:

If a Corporation:

- ☐ President
- ☐ Secretary
- ☐ Treasurer
- ☐ Vice President (if authorized by corporate vote)
- ☐ Representative of the above  
(if authorized by corporate vote and if responsible for overall operation of the facility)

If a Partnership:

- ☐ General Partner

If a Sole Proprietorship:

- ☐ Proprietor

\_\_\_\_\_  
Signature